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01-19-01 A  
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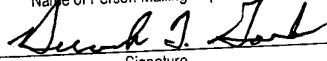
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BOX PATENT APPLICATION  
Assistant Director For Patents  
Washington, D.C. 20231

EXPRESS MAIL MAILING LABEL NO.: EL589919175US  
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addressed to BOX PATENT APPLICATION, Assistant Director for  
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Derrick T. Gordon

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Signature

January 18, 2001

Date of Signature

Re: New U.S. Patent Application  
OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED  
DEVICE  
By: Koujirou SEKINE, Mitsuru YOKOYAMA, Miyuki  
TERAMOTO, Kyu TAKADA, Takuji HATANO, Shinji MARUYAMA,  
and Tsuyoshi IWAMOTO  
Attorney Docket: 15162/03060

Dear Sir:

Enclosed for filing are the following papers relating to  
OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE, Koujirou  
SEKINE, Mitsuru YOKOYAMA, Miyuki TERAMOTO, Kyu TAKADA, Takuji  
HATANO, Shinji MARUYAMA, and Tsuyoshi IWAMOTO, inventors:

- (1) Specification (27 pages, including 15 claims and an abstract);
- (2) Unexecuted Declaration and Power of Attorney (12 pages);
- (3) Formal Drawings (15 sheets);

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09/765229



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- (4) Transmittal letter (1 page) with PrintEFS Patent Application Bibliographic Data (3 pages);  
(5) Transmittal letter (1 page) with two Certified Copies of Priority Documents (19 pages and 40 pages); and  
(6) Information Disclosure Statement (3 pages) with attached PTO-1449 form (1 page) and copies of the cited references (16 references).

The amount of the filing fee is calculated below:

			Fee for small entity			Fee for other than small entity	
Fee	No. filed	No. extra *	Rate	Fee	OR	Rate	Fee
Basic fee				\$355	OR		\$710
Total claims	15-20=	0	X \$9=	\$0	OR	X \$18=	\$-0-
Independent claims	4-3=	1	X \$40=	\$0	OR	X \$80=	\$80
Multiple Dependent Claim Presented	0	0	+\$135=	\$0	OR	+\$270=	\$-0-
			Total	\$0	OR	Total	\$790

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Please charge the required fee (\$790.00 for the application filing fee) to Sidley & Austin's Deposit Account No. 18-1260. If additional fees are due, please charge any fees during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This transmittal letter is being filed in duplicate.

SIDLEY & AUSTIN

DALLAS

Assistant Director For Patents

January 18, 2001

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All correspondence is to be directed to the address  
associated with the customer number 24367.

Respectfully submitted,

By:

*James W. Williams*

James W. Williams  
Registration No. 20,047  
Attorney for Applicants

JWW/mhg  
Enclosures

2001 JWW/mhg

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application of: Koujirou SEKINE, Mitsuru YOKOYAMA,  
Miyuki TERAMOTO, Kyu TAKADA, Takuji  
HATANO, Shinji MARUYAMA, and Tsuyoshi  
IWAMOTO

For: OPTICAL FUNCTIONAL DEVICE AND OPTICAL  
INTEGRATED DEVICE

U.S. Serial No.: To Be Assigned

Filed: Concurrently

Group Art Unit: To Be Assigned

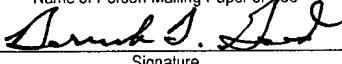
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Patents, Washington, DC 20231.

Derrick T. Gordon

Name of Person Mailing Paper or Fee



Signature

January 18, 2001

Date of Signature

Dear Sir:

TRANSMITTAL OF PrintEFS PATENT APPLICATION

BIBLIOGRAPHIC DATA

Submitted herewith is bibliographic data (3 pages) for the  
above-identified application, in the PrintEFS Version 1.0.1  
program format.

Respectfully submitted,



James W. Williams  
Registration No. 20,047  
Attorney for Applicants

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